

Santa Clara County
List of Internal Audit Reports Issued
July 1, 2002 to Present
(Contact Bill Perrone at 408-299-6830)

Date Issued	Report Title	Assignment#	FY workload statistics	Notes
7-1-02	Cash Count- Jan.-June 2002		FY2003	1
Monthly	Investment Audit- July-August 2002		FY2003	1
9-23-02	Tax Collector LAN		FY2003	2
9-30-02	DOC- Inmate Welfare Fund		FY2003	1
10-10-02	SB90		FY2003	1
Monthly	Investment Audit- Sept. & Oct. 2002		FY2003	1
11-18-02	Housing Bond- June 30, 2000-2002 Vol. II		FY2003	1
12-19-02	Cash Count- July-Dec. 2002		FY2003	1
Monthly	Investment Audit- Nov. & Dec. 2002		FY2003	1
1-31-03	DA- Grant Audits		FY2003	2
Monthly	Investment Audit- Jan-Feb 2003		FY2003	1
3-25-03	Assessor- Prop. Tax Admin. Prog.		FY2003	2
3-27-03	Planning Dept- Custody Audit		FY2003	2
3-31-03	County-wide Cash receipts & Cash Handling Practices		FY2003	2
4-15-03	Housing Bond- June 2000-2002 Vol. I		FY2003	2
Monthly	Investment Audit- March-April 2003		FY2003	1
6-12-03	Parks & Recreation Twin Creeks (1998-2002)		FY2003	2
6-27-03	SCVHHS IT Audit- Jan-March 2003		FY2003	2
Monthly	Investment Audits- May-June 2003		FY2003	1
6-30-03	Procurement Dept.- Custody Audit	10012	FY2003	2
6-30-03	SSA Audit of Information Systems Dept.		FY2003	2
7-1-03	Cash Count- Jan-June 2003		FY2004	1
7-17-03	Controller-Treasurer- Sales Tax		FY2004	1
8-20-03	Workers Compensation		FY2004	1
8-20-03	DOC – Financial Review	10008	FY2004	1
9-1-03	DOC- Custody Audit	10008	FY2004	1
10-23-03	Probation Challenge II Grant	10007	FY2004	2
12-1-03	Capitalization of Capital Projects	10000	FY2004	2
12-1-03	DOC - Internal Controls	10008	FY2004	2
12-23-03	DA Insurance Grant – Workers Comp	10047	FY2004	2
12-23-03	DA Insurance Grant - Automobile	10047	FY2004	2
12-23-03	DA Insurance Grant - Urban	10047	FY2004	2
12-23-03	GSA Procurement – Internal Controls	10012	FY2004	2
02-06-04	Countywide Review of Contracts Executed By Departments Under a Board Approved Delegation of Authority	10024	FY2004	1
3-18-04	Assessor-Review of State-County Property Tax Administration Program	10040	FY 2004	2

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6-22-04	Encumbrance Review FY03	10036	FY2004	2
6-22-04	Parks –Financial Custody Audit Report	10043	FY2004	2
Monthly	Cash Audits	10020	FY2004	1
Monthly	Investment Audits (maybe #10045)	10056	FY2004	1
9-10-04	The Goods Received / Invoice Receipts Controls Audit	10067	FY2005	2
10-8-04	DOR Custody – Financial Audit	10042	FY2005	3
10-15-04	DOC – MIOCR Grant	10071	FY2005	2
10-29-04	DA Insurance Grant – Workers Comp	10087	FY2005	2
10-29-04	DA Insurance Grant - Automobile	10087	FY2005	2
10-29-04	DA Insurance Grant - Urban	10087	FY2005	2
11-19-04	DOR Custody – Internal Controls	10042	FY2005	3
12-10-04	Parks Custody – Internal Controls	10043	FY2005	2
12-17-04	DOC – Rates and Charges	10037	FY2005	2
12-17-04	State-County Property Tax Administration Program Trust Funds	10090	FY2005	2
1-7-05	Controller-Treasurer – Rates and Charges	10037	FY2005	2
2-25-05	Tax Collector – Rates and Charges	10037	FY2005	2
3-4-05	Clerk Recorder – Rates and Charges	10037	FY2005	2
4-15-05	Medical Examiner-Coroner – Rates and Charges	10037	FY2005	2
4-15-05	Assessor-Review of State-County Property Tax Administration Program	10074	FY2005	2
5-20-05	Verification of Encumbrance Rollover Fiscal Year 2004	10073	FY2005	2
5-20-05	Internal Audit’s IT Macro Risk Assessment as of June 2004	10082	FY2005	3
6-17-05	Imprest Cash as of January 31, 2005	10099	FY2005	2
7-15-05	Peer Review of San Mateo County Internal Audit Division	10068	FY2006	3, 4
10-7-05	DA Insurance Grant – Workers Comp	10122	FY2006	3, 4
10-7-05	DA Insurance Grant - Automobile	10122	FY2006	3, 4
10-7-05	DA Insurance Grant - Urban	10122	FY2006	3, 4
10-28-05	Custody Audit – Medical Examiner-Corner’s Office	10102	FY2006	3, 4
12-16-05	Opening and Reconciliation of Bank Accounts	10100	FY2006	3, 4
3-24-06	Digitized Signatures – SSA Immediate Needs	10124	FY2006	3, 4
3-24-06	Management Review of the Filipino	10129	FY2006	3, 4

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	American SODC			
4-7-06	Management Review of Delta Dental	10072	FY2006	3, 4
5-19-06	Year-End Expense Accruals and Encumbrance Rollover	10117	FY2006	3, 4
6-9-06	Negative Leave Balances	10112	FY2006	3, 4
6-9-06	Assessor Grant Program Review	10121	FY2006	3, 4
8-4-06	ISS Fleet Management Custody	10130	FY2007	3, 4
9-8-06	Payroll Audit of Valley Medical Center Doctors for FY2006	10114	FY2007	3, 4
9-15-06	DA Victim Restitution	10131	FY2007	3, 4
10-11-06	Payroll Account Reconciliations Follow-up Audit	10113	FY2007	3, 4
10-27-06	DA Insurance Grant - Automobile	10136	FY2007	3, 4
10-27-06	DA Insurance Grant - Urban	10136	FY2007	3, 4
10-27-06	DA Insurance Grant – Workers Comp	10136	FY2007	3, 4
12-8-06	Review of the Office of Veterans’ Services	10157	FY2007	3, 4

#	Notes Key
1	Report does not appear on S-drive but does exist in a paper file because it was prior to the implementation of audit software procedures.
2	Report is on the S-drive and on a paper file because it is during the transition period from the old manual process to using the audit software.
3	Report is on the S-drive but cannot locate paper file because it is after commenced storing “paper” files in audit software.
4	Report is on the Internet.